

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

21012-127C9

First Inventor or Application Identifier

Jiangchun Xu et al.

Title

COMPOSITIONS AND METHODS FOR THERAPY  
AND DIAGNOSIS OF PROSTATE CANCER

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patent  
Washington, D.C. 20231

1. ☐ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages] **128**  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **12**

4. Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. Incorporation By Reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
4b, is considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (Identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity  
Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP) of prior Application No.: **09/352,616**

Prior application information: Examiner Not yet assigned

Group / Art Unit **1643**

☐ Claims the benefit of Provisional (or foreign) Application No. \_\_\_\_\_

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Respectfully submitted,

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SIGNATURE

REGISTRATION NO. **31,392**

Date

NOVEMBER 12, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu, Steven G. Reed, Michael Kalos, Gary Fanger, Mark  
Retter, John Solk, Craig Day  
Filed : November 12, 1999  
For : COMPOSITIONS AND METHODS FOR THERAPY AND  
DIAGNOSIS OF PROSTATE CANCER

Docket No. : 210121.427C9  
Date : November 12, 1999

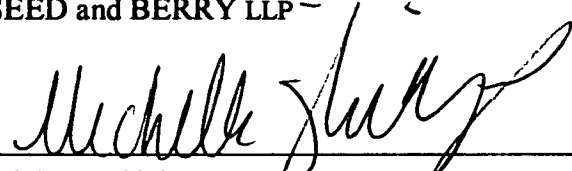
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Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL414545321US, on November 12, 1999, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
SEED and BERRY LLP ~

  
Michelle Shillings

Enclosures:

Postcard  
Certificate of Mailing  
Form PTO/SB/05  
Specification, Claims, Abstract (128 pages)  
12 Sheets of Drawings (Figures 1-11)

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JC542 U.S. PTO  
09/439313  
11/12/99